

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Chao-Jung WU for  
Inventor(s)

ADJUSTABLE LIGHTING APPARATUS  
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 8) including:
  - a. X Claims
  - b. X Abstract
2. X 3 sheets of informal     formal X drawings.
3. X Oath or declaration of Applicant(s) (1 total pages)
  - a. X Newly executed (original or copy)
  - b.     Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.     Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.     Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.     Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.     An assignment of the invention to                      (cover sheet & document(s))
8.     37 CFR 3.73(b) Statement (*when there is an assignee*)
9. X Power of Attorney
  - a. X Newly executed (original or copy)
  - b.     Copy from a prior application

10.      Information Disclosure Statement (IDS)/PTO-1449  
            Copies of IDS Citations
11.      A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. X Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27
14.      If a Continuing Application, *check the appropriate box and supply the requisite information:*
- ☐ Continuation of prior application No.:            filed           .
- ☐ Divisional of prior application No.:            filed           .
- ☐ Continuation-in-part (CIP) of prior application No.:            filed           .
15.      Amend the specification by inserting before the first line of page one: --This is a      continuation  
            divisional of application Serial No.      filed     --
16.      Priority of application No(s).      filed in      on     . Applicant hereby claims priority  
       under 35 U.S.C. § 119. A certified copy of the priority document will be sent in due course.
- A certified copy of priority application No.      is enclosed.
- A certified copy of priority application No.      has been filed in prior application  
       S.N.      filed

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

|   |                 |   |                  |   | Rate            |                 | Fee             |                 |
|---|-----------------|---|------------------|---|-----------------|-----------------|-----------------|-----------------|
|   | Number<br>Filed |   | Number<br>Extra* |   | Small<br>Entity | Other<br>Entity | Small<br>Entity | Other<br>Entity |
| Total<br>Claims   | 7 - 20          | = | 0                | x | \$ 9            | \$ 18           | = \$            | \$              |
| Indep.<br>Claims  | 1 - 3           | = | 0                | x | \$ 42           | \$ 84           | = \$            | \$              |
| Multiple Dependent<br>Claims Presented: Yes <u>    </u> No <u>    </u>    |                 |   |                  |   | \$140           | \$280           | = \$            | \$              |
| If the difference in column 1 is less than zero,<br>enter "0" in column 2 |                 |   |                  |   | Basic Fee       |                 | \$ 375          | \$ 750          |
|   |                 |   |                  |   | Total Fee       |                 | \$ 375          | \$              |

17.     It is hereby certified that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
18. X A check in the amount of \$ 375.00 to cover the filing fee and recordation of the Assignment.
19. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
20.     Three copies of this sheet are enclosed.
21.     Other (identify) \_\_\_\_\_

Dated: September 8, 2003

Respectfully Submitted,



William E. Pelton  
Reg. No. 25,702  
Donald S. Dowden  
Reg. No. 20,701  
Attorney for Applicant(s)  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chao-Jung WU  
Serial No.: Not Yet Assigned  
Filed : Concurrently Herewith  
For : ADJUSTABLE LIGHTING APPARATUS

1185 Avenue of the Americas  
New York, New York 10036  
September 8, 2003

MAIL STOP PATENT APPLICATION

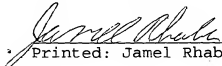
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


EXPRESS MAIL  
CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV298592455US  
Date of Deposit: September 8, 2003

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Printed: Jamel Rhabb

Respectfully submitted,

  
William E. Pelton  
Reg. No. 25,702  
Donald S. Dowden  
Reg. No. 20,701  
Attorney for Applicant  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400